

FORM PTO-1390 MODIFIED	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER ARC-613-110
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/586,777
INTERNATIONAL APPLICATION NO. PCT/GB2005/000202	INTERNATIONAL FILING DATE 21 January 2005	PRIORITY DATE CLAIMED 23 January 2004
TITLE OF INVENTION TERNARY NON-LAMELLAR LIPID COMPOSITIONS		
APPLICANT(S) FOR DO/EO/US JOHNSON ET AL.		

AP12 Rec'd PCT/PTO 11 APR 2008

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The U.S. has been elected (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto (pages specification, claims & abstract (claims), sheets drawings).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(3))
 - a. ☐ is attached hereto (pages specification, claims & abstract (claims), sheets drawings, page Certificate of Translation).
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.
 - a. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 - b. ☐ Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 To 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13.
 - a. ☐ A FIRST preliminary amendment.
 - b. ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ An Application Data Sheet under 37 C.F.R. § 1.76.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information. Copy of Notification of Missing Requirements ...

U.S. APPLICATION NO.: (If known, see 37 C.F.R. 1.5) 10/586,777		INTERNATIONAL APPLICATION NO. PCT/GB2005/000202		ATTORNEY'S DOCKET NUMBER 613-110	
<input checked="" type="checkbox"/> The following fees are submitted:					
BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):					
21.	<input type="checkbox"/>	Basic national fee	\$310.00 (1631)/\$155.00 (2631)	\$	
22.	<input type="checkbox"/>	Examination Fee	\$0 (1643/2643)		
			\$210.00 (1633)/\$105.00 (2633)	\$	
23.	<input type="checkbox"/>	Search Fee	\$0 (1640/2640)		
			\$100 (1641)/\$50 (2641)		
			\$410 (1642)/\$205 (2642)		
			\$510.00 (1632)/\$255.00 (2632)	\$	
TOTAL OF ABOVE CALCULATIONS				\$	0.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$260 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
0 -100	0 /50 =	0.00	\$0.00 (1681) \$0.00 (2681)	\$	
Surcharge of \$130.00 (1617)/\$65.00 (2617) for furnishing the oath or declaration later than <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).				\$	
CLAIMS	NUMBER FILED	# EXTRA	RATE		
Total Claims	minus 20 =	0 X	\$50.00 (1615)/ \$25.00 (2615)	\$	
Independent Claims	minus 3 =	0 X	\$210.00 (1614) \$105.00 (2614)	\$	
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)				\$	0.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s): One Month Extension \$120.00 (1251)/\$60.00 (2251); Two Month Extensions \$460.00 (1252)/\$230.00 (2252); Three Month Extensions \$1050.00 (1253)/\$525.00 (2253); Four Month Extensions \$1640.00 (1254)/\$820.00 (2254)				\$	0.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.					
Processing fee of \$130.00 (1618), for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).				\$	0.00
TOTAL NATIONAL FEE =				\$	0.00
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 (8021) per property				\$	40.00
Fee for Petition to Revive Unintentionally Abandoned Application; \$1540.00 (1453) / \$770.00 (2453)				\$	0.00
TOTAL FEES ENCLOSED =				\$	40.00
				Amount to be refunded:	\$
U.S. Application No. 10/586,777; Atty Docket No. 613-110				Amount to be Charged:	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$40.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 14-1140 in the amount of \$_____ to cover the above fees. A duplicate copy of this form is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached. d. <input type="checkbox"/> CREDIT CARD PAYMENT FORM ATTACHED. e. <input checked="" type="checkbox"/> The entire content of International Application No. PCT/GB2005/000202 and any U.S. and foreign application(s) corresponding thereto, and referred to in this application is/are hereby incorporated by reference in this application. NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be filed and granted to restore the application to pending status. CORRESPONDENCE ADDRESS Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number: 23117 <div style="text-align: center;">Type Customer Number here</div>					
Telephone: (703) 816-4000 ARC:eaw				<div style="font-family: cursive; font-size: 1.5em; margin-bottom: 5px;">Arthur R. Crawford</div> <div style="border-top: 1px solid black; display: flex; justify-content: space-between;"> NAME 25,327 April 11, 2008 </div> <div style="border-top: 1px solid black; display: flex; justify-content: space-between;"> REGISTRATION NUMBER Date </div>	